

## Vote Summary

### ALGONQUIN POWER & UTILITIES CORP.

Security	015857105	Meeting Type	Annual and Special Meeting
Ticker Symbol	AQUNF	Meeting Date	09-Jun-2016
ISIN	CA0158571053	Agenda	934430947 - Management
Record Date	25-Apr-2016	Holding Recon Date	25-Apr-2016
City / Country	/ Canada	Vote Deadline Date	07-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management
01	Ratify Appointment of Independent Auditors	Management	For	For	For
02	Election of Directors (Majority Voting)	Management			
	1 CHRISTOPHER BALL		For	For	For
	2 MELISSA BARNES		For	For	For
	3 CHRISTOPHER HUSKILSON		For	For	For
	4 CHRIS JARRATT		For	For	For
	5 KENNETH MOORE		For	For	For
	6 IAN ROBERTSON		For	For	For
	7 MASHEED SAIDI		For	For	For
	8 DILEK SAMIL		For	For	For
	9 GEORGE STEEVES		For	For	For
03	Approve Article Amendments	Management	Against	For	Against
04	Amend Stock Option Plan	Management	For	For	For
05	Miscellaneous Corporate Actions	Management	For	For	For
06	Ratify Shareholder Rights Plan	Management	For	For	For
07	Miscellaneous Corporate Actions	Management	For	For	For
08	14A Executive Compensation	Management	For	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
NGMSSSTA 01 OM	NEXGEN CDN DIV & INC TAX MGD	NGMS	STATE STREET TRUST	52,900	0	06-Jun-2016	10-Jun-2016
NGZ7SSTA 01 OM	NEXGEN CDN DIVR INC TM EQU	NGZ7	STATE STREET TRUST	35,800	0	06-Jun-2016	10-Jun-2016

## Vote Summary

### BROOKFIELD ASSET MANAGEMENT INC.

Security	112585104	Meeting Type	Annual and Special Meeting
Ticker Symbol	BAM	Meeting Date	17-Jun-2016
ISIN	CA1125851040	Agenda	934425186 - Management
Record Date	04-May-2016	Holding Recon Date	04-May-2016
City / Country	/ Canada	Vote Deadline Date	14-Jun-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management
01	Election of Directors	Management			
	1 M. ELYSE ALLAN		For	For	For
	2 ANGELA F. BRALY		For	For	For
	3 MARCEL R. COUTU		For	For	For
	4 MAUREEN KEMPSTON DARKES		For	For	For
	5 FRANK J. MCKENNA		For	For	For
	6 YOUSSEF A. NASR		For	For	For
	7 SEEK NGEE HUAT		For	For	For
	8 DIANA L. TAYLOR		For	For	For
02	Ratify Appointment of Independent Auditors	Management	For	For	For
03	14A Executive Compensation	Management	For	For	For
04	Miscellaneous Corporate Actions	Management	For	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
NGMSSSTA 01 OM	NEXGEN CDN DIV & INC TAX MGD	NGMS	STATE STREET TRUST	24,750	0	07-Jun-2016	20-Jun-2016
NGZ7SSTA 01 OM	NEXGEN CDN DIVR INC TM EQU	NGZ7	STATE STREET TRUST	17,250	0	07-Jun-2016	20-Jun-2016

## Vote Summary

### GLUSKIN SHEFF + ASSOCIATES INC.

Security	37989N106	Meeting Type	Annual and Special Meeting
Ticker Symbol	GLUSF	Meeting Date	10-Nov-2016
ISIN	CA37989N1069	Agenda	934491969 - Management
Record Date	04-Oct-2016	Holding Recon Date	04-Oct-2016
City / Country	/ Canada	Vote Deadline Date	07-Nov-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management
01	Election of Directors (Majority Voting)	Management			
	1 PAUL M. BEESTON		For	For	For
	2 V. ANN DAVIS		For	For	For
	3 WILFRED A. GOBERT		For	For	For
	4 STEPHEN H. HALPERIN		For	For	For
	5 NANCY H.O. LOCKHART		For	For	For
	6 THOMAS C. MACMILLAN		For	For	For
	7 PIERRE-ANDRÉ THEMENS		For	For	For
02	Ratify Appointment of Independent Auditors	Management	For	For	For
03	Ratify Shareholder Rights Plan	Management	For	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
NGMSSSTA 01 OM	NEXGEN CDN DIV & INC TAX MGD	NGMS	STATE STREET TRUST	23,000	0	07-Nov-2016	11-Nov-2016

## Vote Summary

### MICROSOFT CORPORATION

Security	594918104	Meeting Type	Annual
Ticker Symbol	MSFT	Meeting Date	30-Nov-2016
ISIN	US5949181045	Agenda	934491224 - Management
Record Date	30-Sep-2016	Holding Recon Date	30-Sep-2016
City / Country	/ United States	Vote Deadline Date	29-Nov-2016

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management
1A.	Election of Directors (Majority Voting)	Management	For	For	For
1B.	Election of Directors (Majority Voting)	Management	For	For	For
1C.	Election of Directors (Majority Voting)	Management	For	For	For
1D.	Election of Directors (Majority Voting)	Management	For	For	For
1E.	Election of Directors (Majority Voting)	Management	For	For	For
1F.	Election of Directors (Majority Voting)	Management	For	For	For
1G.	Election of Directors (Majority Voting)	Management	For	For	For
1H.	Election of Directors (Majority Voting)	Management	For	For	For
1I.	Election of Directors (Majority Voting)	Management	For	For	For
1J.	Election of Directors (Majority Voting)	Management	For	For	For
1K.	Election of Directors (Majority Voting)	Management	For	For	For
2.	14A Executive Compensation	Management	For	For	For
3.	Ratify Appointment of Independent Auditors	Management	For	For	For
4.	Approve Charter Amendment	Management	For	For	For
5.	Adopt Stock Option Plan	Management	For	For	For
6.	S/H Proposal - Access To Proxy	Shareholder	Against	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997NGMS	NEXGEN CDN DIV & INC TAX MGD	NGMS	STATE STREET BANK & TRUST CO	11,300	0	23-Nov-2016	01-Dec-2016

## Vote Summary

### ENBRIDGE INC.

Security	29250N105	Meeting Type	Special
Ticker Symbol	ENB	Meeting Date	15-Dec-2016
ISIN	CA29250N1050	Agenda	934504704 - Management
Record Date	07-Nov-2016	Holding Recon Date	07-Nov-2016
City / Country	/ Canada	Vote Deadline Date	12-Dec-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management
01	Approve Merger Agreement	Management	For	For	For
02	Approve Charter Amendment	Management	For	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
NGMSSSTA 01 OM	NEXGEN CDN DIV & INC TAX MGD	NGMS	STATE STREET TRUST	22,000	0	23-Nov-2016	16-Dec-2016

## Vote Summary

### COGECO COMMUNICATIONS INC.

Security	19239C106	Meeting Type	Annual
Ticker Symbol	CGEAF	Meeting Date	12-Jan-2017
ISIN	CA19239C1068	Agenda	934515288 - Management
Record Date	24-Nov-2016	Holding Recon Date	24-Nov-2016
City / Country	/ Canada	Vote Deadline Date	10-Jan-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
01	Election of Directors (Majority Voting)	Management					
	1 LOUIS AUDET			For		None	None
	2 PATRICIA CURADEAU-GROU			For		None	None
	3 JOANNE FERSTMAN			For		None	None
	4 L.G. SERGE GADBOIS			For		None	None
	5 CLAUDE A. GARCIA			For		None	None
	6 LIB GIBSON			For		None	None
	7 DAVID MCAUSLAND			For		None	None
	8 JAN PEETERS			For		None	None
	9 CAROLE J. SALOMON			For		None	None
02	Ratify Appointment of Independent Auditors	Management		For		None	None
03	14A Executive Compensation	Management		For		None	None

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
NGMSSSTA 01 OM	NEXGEN CDN DIV & INC TAX MGD	NGMS	STATE STREET TRUST	22,000	0		

## Vote Summary

### STARBUCKS CORPORATION

Security	855244109	Meeting Type	Annual
Ticker Symbol	SBUX	Meeting Date	22-Mar-2017
ISIN	US8552441094	Agenda	934524996 - Management
Record Date	12-Jan-2017	Holding Recon Date	12-Jan-2017
City / Country	/ United States	Vote Deadline Date	21-Mar-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1A.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1B.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1C.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1D.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1E.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1F.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1G.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1H.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1I.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1J.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1K.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1L.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1M.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1N.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
5.	S/H Proposal - Access To Proxy	Shareholder	For	Against	Against	None	None

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997NGMS	NEXGEN CDN DIV & INC TAX MGD	NGMS	STATE STREET BANK & TRUST CO	16,000	0	23-Feb-2017	23-Mar-2017

## Vote Summary

### THE TORONTO-DOMINION BANK

Security	891160509	Meeting Type	Annual
Ticker Symbol	TD	Meeting Date	30-Mar-2017
ISIN	CA8911605092	Agenda	934529528 - Management
Record Date	01-Feb-2017	Holding Recon Date	01-Feb-2017
City / Country	/ Canada	Vote Deadline Date	28-Mar-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
A	Election of Directors (Majority Voting)	Management					
	1	WILLIAM E. BENNETT		For		None	None
	2	AMY W. BRINKLEY		For		None	None
	3	BRIAN C. FERGUSON		For		None	None
	4	COLLEEN A. GOGGINS		For		None	None
	5	MARY JO HADDAD		For		None	None
	6	JEAN-RENÉ HALDE		For		None	None
	7	DAVID E. KEPLER		For		None	None
	8	BRIAN M. LEVITT		For		None	None
	9	ALAN N. MACGIBBON		For		None	None
	10	KAREN E. MAIDMENT		For		None	None
	11	BHARAT B. MASRANI		For		None	None
	12	IRENE R. MILLER		For		None	None
	13	NADIR H. MOHAMED		For		None	None
	14	CLAUDE MONGEAU		For		None	None
B	Ratify Appointment of Independent Auditors	Management		For		None	None
C	14A Executive Compensation	Management		For		None	None
D	S/H Proposal - Corporate Governance	Shareholder		Against		None	None
E	S/H Proposal - Corporate Governance	Shareholder		Against		None	None
F	S/H Proposal - Corporate Governance	Shareholder		Against		None	None
G	S/H Proposal - Corporate Governance	Shareholder		Against		None	None
H	S/H Proposal - Corporate Governance	Shareholder		Against		None	None
I	S/H Proposal - Corporate Governance	Shareholder		Against		None	None
J	S/H Proposal - Corporate Governance	Shareholder		Against		None	None

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
NGMSSSTA 01 OM	NEXGEN CDN DIV & INC TAX MGD	NGMS	STATE STREET TRUST	54,400	0		



## Vote Summary Report

Reporting Period: 04/01/2017 to 06/30/2017

Location(s): All Locations

Institution Account(s): NGMS

## Keyera Corp.

**Meeting Date:** 05/09/2017

**Country:** Canada

**Primary Security ID:** 493271100

**Meeting Type:** Annual

**Ticker:** KEY

**Shares Voted:** 19,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Ratify Deloitte LLP as Auditors	Mgmt	For	For
2.1	Elect Director James V. Bertram	Mgmt	For	For
2.2	Elect Director Douglas J. Haughey	Mgmt	For	For
2.3	Elect Director Nancy M. Laird	Mgmt	For	For
2.4	Elect Director Gianna Manes	Mgmt	For	For
2.5	Elect Director Donald J. Nelson	Mgmt	For	For
2.6	Elect Director Michael J. Norris	Mgmt	For	For
2.7	Elect Director Thomas O'Connor	Mgmt	For	For
2.8	Elect Director David G. Smith	Mgmt	For	For
2.9	Elect Director William R. Stedman	Mgmt	For	For
2.10	Elect Director Janet Woodruff	Mgmt	For	For
3	Approve Shareholder Rights Plan	Mgmt	For	For
4	Advisory Vote on Executive Compensation Approach	Mgmt	For	For